Blueprint for a Safer Economy

COVID-19 County Tier Adjudication Request FOR

CALIFORNIA

Requested week for Tier Assignment Adjudication:

Background

On August 28, 2020, Governor Gavin Newsom unveiled the <u>Blueprint for a Safer Economy</u>, a statewide, stringent and slow plan for a safe progression of opening more businesses and activities in light of the pandemic. The plan imposed risk-based criteria on tightening and loosening COVID-19 allowable activities and defined a minimum length of time between changes to assess how any movement affects the trajectory of the disease based on a county's disease transmission metrics. As part of this framework, a county tier adjudication process was established to offer local jurisdictions the opportunity to raise questions concerning data discrepancies or other similar errors in the tier assignment process.

A county may submit this form as an official request to enter into a tier adjudication process.

Timing and Processing of An Adjudication Request

Counties must submit their intent to request tier adjudication no later than Monday at 6pm regarding their tier metrics for that week. The full county tier adjudication form & any supporting documentation must be submitted by Wednesday at 5pm. Once a county enters into tier adjudication with CDPH, the county's tier assignment at the time of the request will be held in the current tier until adjudication is complete.

An adjudication process cannot delay a tier change by more than one tier reporting period (the process cannot cross beyond the next Tuesday's tier assignment), and the county will be assigned to the tier determined by CDPH no later than Monday of the following week. If a request for adjudication concerns movement from Tier 2 to Tier 1, schools in the county that have not yet reopened may not reopen during tier adjudication.

The State Health Officer or designee will review and approve the final decision. CDPH will also post the request form and a summary of the final decision on the <u>CDPH website</u> after the county tier adjudication request package (completed form and supporting documents) and discussion between State and local officials have been completed.

Reason for Adjudication Request- select all that apply and provide an explanation: □ DATA DISCREPANCY: Using similar methodology for calculating the blueprint metrics, the county identifies a discrepancy between state and local data. Does the discrepancy pertain to adjusted case rate, test positivity or both? • Please describe any additional detail pertaining to the discrepancy. o If the data discrepancy involves non-ELR data, please refer to Appendix A for instructions on the format and information that should be included as supporting documentation. Please enter relevant information for States consideration ☐ Other additional justification to be considered: Please provide relevant qualitative/contextual elements for the States consideration In addition to your county's completed COVID-19 COUNTY TIER ADJUDICATION FORM, please include: Supporting data documentation following the instructions in Appendix A o How many separate documents will be provided, excluding this form, in connection with this request: o Please list names of any files being provided to ensure we have everything you intend to have reviewed in connection with this request:

A completed data summary table (see <u>Appendix A</u>) Note: if a County is delayed in its submission of required information to inform the adjudication process, CDPH can elect to end or deny the adjudication request.

Instructions for submission:

Date of Determination:

- 1. Submit request for tier adjudication to CDPH at countytieradjudication@cdph.ca.gov no later than Monday, 6pm.
- 2. Submit county tier adjudication form, data summary table, and supporting documents, as separate documents, to CDPH no later than by 5pm Wednesday (within 2 days after the request for tier adjudication was sent) at countytieradjudication@cdph.ca.gov.

 countytieradjudication@cdph.ca.gov. Data file should be sent encrypted. Does not need to be in zipped files.
I hereby attest that I am duly authorized to sign and act on behalf of hereby attest that I am duly authorized to sign provided in the county tier adjudication request is true, accurate and complete to the best of my knowledge.
I understand and consent that the California Department of Public Health (CDPH) will post this information on the CDPH website and is public record.
Printed Name
Signature May and
Position/Title
Date
CDPH Determination

Appendix A:

Format Requested: Excel Files (send encrypted email if contains PHI)

1. Metric: Adjusted Case rate discrepancy- related adjudication

Fields that we need information on:

Test Submitter	Episode	Unique	ELR or non-ELR	Test info	Confirmation of
	Date*	Case IDs		(positive/	Address (county
				negative)	suffices) of case

^{*} Data should align with dates used for CDPH tier assignment.

2. Metric: Testing Positivity and/or Volume discrepancy- related adjudication

Fields that we need information on:

Test Submitter ¹	Specimen	Unique	ELR or	Test info	Type of	Confirmation
	collection	Case IDs	non-ELR	(positive/	test used	of Address
	date*			negative)	(PCR/Antig	(county
					en/POC)	suffices) of
						case

^{*} Data should align with dates used for CDPH tier assignment.

Download the <u>Tier Adjudication Summary Table</u> (Excel)

¹ Counties are requested to submit a list of labs that are not reporting to CalREDIE via ELR or CalREDIE compatible csv files. Please indicate if these labs are currently in the process of onboarding onto CalREDIE.

Appendix A:

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Form Updated 10/20/20

¹ Counties are requested to submit a list of labs that are not reporting to CalREDIE via ELR or CalREDIE compatible csv files. Please indicate if these labs are currently in the process of onboarding onto CalREDIE.

Blueprint for a Safer Economy

COVID-19 County Tier Adjudication



For the county of: Stanislaus

Requested week for Tier Assignment Adjudication: 11/10/2020

Summary

Stanislaus County is requesting tier adjudication based on data discrepancy and contextual reasons.

With regards to data discrepancy: County reports that there continue to be multiple laboratories used by at least seven Skilled Nursing and/or Assisted Living Facilities that are not reporting negative results. On 11/6/20, county staff notified CDPH of four such laboratories. CDPH responded that only one of those laboratories is in the on-boarding process to report into the CDPH CalREDIE system, while the other three were unknown.

County would like to adjudicate its tier status based on the fact that there is incomplete data being used to perform case rate adjustments. County does not provide any data to adjust their metric calculations.

With regards to contextual factors: county reports that it has diligently worked to increase access to and demand for testing, with particular emphasis on vulnerable populations. County reports that for several months they have been working with community based organizations, advocacy groups and the Agricultural Commissioner's office on outreach and education, face covering distribution, and promotion of testing.

County reports to have achieved a test positivity rate in the lowest HPI quartile that is under the overall county wide test positivity rate. At the time of tier adjudication review, both metrics continued to stay in the Orange Tier.

County also states that they have had improvement in population-proportional case rates by race and ethnicity.

County reports that their rise in cases is due to family and private gatherings and that moving to a more restrictive tier under the current Blueprint framework

penalizes some sectors/activities which the data are not showing to have a causal relationship with the rise in cases.

County does not provide sufficient justification to support the adjudication request.

Determination

Stanislaus County's adjudication request for tier assignment week 11/10/2020 is not approved and county will still be assigned to the Purple Tier for 11/10/2020.

Date of CDPH Determination: 11/18/2020